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Method Article

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Spatial Transcriptomic Alignment, Integration, and *de novo* 3D Reconstruction by STAIR

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8

9 Abstract

10 Spatial transcriptomics (ST) has emerged as a transformative approach for comprehending tissue 11 architecture with molecular profiles. However, amalgamating discrete two-dimensional (2D) ST 12 snapshots into a unified 3D atlas remains an outstanding challenge. To this end, we introduce STAIR, 13 an end-to-end solution for ST alignment, integration, and *de novo* 3D reconstruction. STAIR uses a 14 heterogeneous graph attention network with spot-level and slice-level attention mechanisms to 15 obtain a unified embedding space and guide 3D space reconstruction in an unsupervised manner. 16 We demonstrate STAIR's marked improvements in slice alignment and integration across samples 17 and platforms over the previous methods. Furthermore, STAIR shows first-of-its-kind performance 18 in *de novo* 3D reconstruction, with demonstrations in mouse hypothalamus preoptic area, mouse 19 brain, and breast tumor tissue, which provides precise delineation of brain regions and reveals tumor 20 progression in 3D space. Additionally, STAIR integrates additional slices into the existing 3D atlas 21 incorporating both molecular features and physical coordinates. STAIR is the first to address the 22 core obstacles limiting 3D positioning and harnessing alignments for atlas construction and 23 assimilation. It lays a computational foundation to construct unified tissue maps and provides novel 24 biological insights from a 3D perspective.

25

26 Keywords

27 Spatial transcriptome, de novo 3D reconstruction, Alignment, Integration, Heterogeneous graph

28 attention network

29 Introduction

30 Recently remarkable advancements have enabled the generation of spatial transcriptomic (ST) data 31 that captures gene expression with preserved spatial context. Various sequencing techniques¹⁻⁷ 32 facilitate exploring molecular features within tissues from a spatial perspective. To study an organ 33 or tissue in three-dimensional (3D) space, researchers sampled parallel slices of specific samples at 34 certain distance intervals, where individual slices capture spatially resolved molecular features in a single plane⁸⁻¹⁰. At present, construction of ST-based molecular atlases is ongoing, such as mouse 35 brain⁸, macaque brain¹⁰, and drosophila embryo⁹. By revealing topological structures organ-wide, 36 37 these studies advance our comprehension of molecular drivers of tissue organization.

Several computational methods including PASTE¹¹, PRECAST¹², STAligner¹³ and STitch3D¹⁴ 38 39 have enabled important advancements in handling multi-slice ST data. Specifically, PRECAST¹² 40 introduced a novel probabilistic approach mainly focusing on spatial embedding integration. PASTE¹¹ proposed an innovative method for 2D coordinate alignment by balancing expression and 41 physical proximity to obtain optimal transport between slices. STAligner¹³ made pioneering work 42 43 in the unified alignment of both embeddings and coordinates. It first employed graph attention 44 networks with triplet loss for integrating spatial embeddings, followed by aligning 2D coordinates 45 based on the selection of landmark domains that are uniformly shaped across slices. While most 46 methods aligning physical space perform only 2D coordinate registration, more recently, STitch3D¹⁴ 47 pioneered intriguing 3D analytical capability by jointly modeling multiple slices. It reconstructed 48 3D views of tissue structures, cell distributions, and developmental trajectories with the requirement 49 of additional single-cell data.

The previous methods such as STaligner and STitch3D utilized known inter-slice distance or 3D coordinates for 3D reconstruction. However, this information can be unavailable or unreliable when integrating across-sample, across-study sections with non-standardizable slice distances, severely limiting multi-source 3D assembly. Therefore, computed inference of inter-relationship positioning is imperative to enable coherent fusion. Regrettably, current solutions do not furnish this capability. In addition, existing reconstructed atlases also have minimal capacity for quantitative annotation transfer or assimilation of emerging data, impeding continued knowledge accumulation 57 within fixed spatial references.

58 To address these challenges, we developed STAIR, an integrated solution for end-to-end 59 alignment, integration, and de novo 3D construction. STAIR utilizes heterogeneous graph attention 60 network¹⁵ to learn spatial embedding, and completes the reconstruction of physical location based on this. Unlike previous methods relying on known slice distance or known 3D coordinates, STAIR 61 62 requires only ST data as input and infers the relative positioning of slices along z-axis in a 63 completely unsupervised manner. In addition, STAIR seamlessly integrates new slices into the 64 existing 3D atlas, expanding and updating the reference 3D atlas. We demonstrated STAIR's 65 superior performance over existing methods for spatial features and 2D coordinates alignment 66 across various datasets. Furthermore, STAIR was the first-of-its-kind method for de novo 3D 67 reconstruction, with demonstrations of mouse hypothalamic preoptic area, whole mouse brain, and 68 tumor tissue. Finally, STAIR achieved seamless integration of a new slice from a different ST 69 platform into a constructed 3D atlas, accurately transferring the annotation information in the atlas 70 to the new slice. STAIR is publicly available as an open-source Python package at 71 https://github.com/yuyuanyuana/STAIR.

72

73 **Results**

74 **Overview of the STAIR framework**

75 STAIR achieves integration and alignment of molecular features and physical coordinates for ST 76 data, enabling 3D reconstruction and assimilation of new slices into the reference atlases (Fig. 1). It 77 takes only ST data as input and outputs aligned spatial embeddings and coordinates. It comprises 78 two central modules (Fig. 1A), STAIR-Emb performs embedding alignment, while STAIR-Loc 79 handles coordinate registration. Spatial domains and developmental trajectories are derived solely 80 from the aligned embeddings via clustering and trajectory inference, respectively. Thus, relying on 81 multi-slice data alone, STAIR is capable of de novo 3D reconstruction and further obtaining discrete 82 organizational structures and continuous dynamic relationships in 3D space.

83 For embedding alignment (Fig. 1A, left), an autoencoder with batch factor^{16,17} performs

84 nonlinear dimensionality reduction to account for platform-specific effects in gene expression. 85 STAIR-Emb then constructs a heterogeneous graph with spots across all slices as nodes, and the node attribution is decided by their original slices. Connectivity for spots in the same slice is defined 86 87 by spatial neighborhoods while inter-slice edges are weighted by gene expression affinities from the 88 encoder output since the relative positions between slices are unknown. Subsequently, STAIR-Emb 89 employs an attention mechanism¹⁵ consisting of spot-level and slice-level attention for adaptive 90 information aggregation within and between slices, obtaining low-dimensional spatial features of 91 spots that encapsulate rich biological signals. In parallel, the attention score produces inter-slice 92 relationship matrices reflecting higher-order tissue correspondences.

For coordinate registration (Fig. 1A, right), STAIR-Loc implements a two-step procedure between slice pairs. In the initial alignment, rotation, scaling, and translation guided by anchor correspondences in the embedding produce an approximate overlay. In the fine alignment, STAIR-Loc employs the Iterative Closest Point (ICP)¹⁸ algorithm for precise registration based on boundary points of the slices and the most aggregated domain. By sequential application across ordered slices, this procedure reconstructs a stacked 3D physical map.

99 For a fully unsupervised 3D reconstruction from an arbitrary set of parallel slices (Fig. 1B), z-100 axis coordinates are first reconstructed from inter-slice attention scores derived from STAIR-Emb 101 using a minimum spanning tree (MST)¹⁹. These predicted z-coordinates are then used to guide x-102 axis and y-axis alignment using STAIR-Loc. Post-reconstruction, seamlessly assimilating additional 103 acquisitions is also enabled (Fig. 1C). The z-coordinate of a new slice is initially predicted by 104 leveraging attention-based inter-slice proximity scoring to identify the nearest neighboring atlas 105 slices for weighted positional estimation. The most proximal atlas slice serves as a spatial template 106 to scale and align the x- and y-coordinates of the new data. At this stage, annotated atlas information 107 like standard anatomical region labels can be accurately propagated to newly integrated slices. This 108 continual expansion over time enhances the utility and applicability of an established 3D reference.

109 STAIR effectively integrates heterogeneous ST data in spatial embeddings

We first quantitatively evaluated STAIR's efficacy in integrating spatial embeddings and identifying
unified spatial domains across diverse tissue sections, a prerequisite for downstream analysis.

112 Effective integration should identify shared signals across specimens while retaining biologically113 unique variations within each individual sample.

114 Our initial testing utilized 10X Visium dataset derived from the human dorsolateral prefrontal 115 cortex (DLPFC)²⁰, spanning three samples with four sequential sections per sample. The original 116 study performed precise manual annotation, delineating white matter (WM) and six gray matter 117 layers ranging from Layer 1 to Layer 6 to provide ground truth labels (Fig. 2A). First, we conducted 118 separate spatial domain identification from slices of each sample, which had close locations with 119 some differences. STAIR achieved the most accurate domain division results on all three samples 120 (Fig. 2B, Supplementary Fig. S1), with respective median Adjusted Rand Index (ARI) values of 121 0.60, 0.53, 0.62 (Fig. 2C).

122 Then, we processed twelve slices from three samples simultaneously (Fig. 2D; Supplementary 123 Fig. S2A). STitch3D was excluded from this test due to its requirement for 3D coordinates to handle 124 multiple slices, which were not available. Despite the challenge, STAIR maintained the highest 125 consistency with annotations, achieving a median ARI value of 0.65, far exceeding the second-126 ranked STAligner with a median value of 0.46 (Fig. 2C, right). Notably, simultaneous consideration 127 of three samples proved superior to evaluating each sample individually, resulting in higher ARI 128 and a clearer demarcation between Layer 4, Layer 5, and Layer 6 (Fig. 2B to D). Subsequently, we 129 performed low-dimensional visualization using uniform manifold approximation and projection (UMAP)²¹ based on the spatial embeddings derived by these methods (Supplementary Fig. S2B). 130 131 In the STAIR-based visualization, all spots exhibited an arrangement according to the layers, with 132 thorough mixing between the different samples. In contrast, both STAligner and PRECAST lacked 133 clarity in arranging and distinguishing these known layers. Specifically, STAligner mixed Layer 2 134 and Layer 3, as well as Layer 4 to Layer 6, while PRECAST only distinguished WM. We also 135 quantified the effects of spatial embedding learning and integration using Average Silhouette width 136 (ASW). We calculated ASW for spatial embeddings with respect to spatial domains (ASW_{domain}) and samples (ASW_{batch}) , as well as ASW_{F1} to evaluate overall capability (Methods). Higher 137 ASW_{F1} and ASW_{domain} coupled with lower ASW_{batch} indicates better performance. STAIR 138 achieved best spatial embedding learning and integrating with the highest ASW_{F1} (Supplementary 139

140 Fig. S2C). STAligner also adequately integrated samples, as indicated by an ASW_{batch} value 141 similar to STAIR. However, it had weaker feature learning capabilities with a much lower 142 ASW_{domain} , which was consistent with the unclear UMAP pattern we observed previously.

143 Moreover, to evaluate cross-platform integration, we applied STAIR to integrate mouse olfactory bulb data from Stereo-seq²² and Slide-seqV2²³, which differed in resolution and area. 144 145 Stereo-seq covered the main olfactory bulb (MOB) at sub-single-cell resolution, whereas Slide-146 seqV2 encompassed both MOB and the accessory olfactory bulb (AOB) with a resolution of 10 µm, 147 approximating single-cell. STAIR clearly delineated the MOB region common to both datasets and 148 the AOB region unique to Slide-seqV2 (Fig. 2E, top), highly consistent with standard Allen Brain 149 Atlas (ABA) partitioning (Supplementary Fig. S2D). The AOB region, situated in the middle and 150 upper part of the olfactory bulb, comprised two sub-regions: AOBmi and AOBgr. The MOB region 151 encompassed seven sub-regions arranged in a concentric ring: Rostral migratory stream (RMS), 152 Granule cell layer (GCL), two Mitral cell layers (MCLs), External plexiform layer (EPL), 153 Glomerular layer (GL), and Olfactory nerve layer (ONL). In contrast, STAligner struggled to 154 identify the RMS layer and confused the EPL and GL layers of Stereo-seq (Fig. 2E, middle). 155 PRECAST failed to identify coherent spatial patterns (Fig. 2E, bottom). UMAP visualization further 156 showed STAIR effectively distinguished between AOB and MOB in low-dimensional space, preserving the AOBmi and AOBgr sub-regions in Slide-seqV2 data (Fig. 2F, G). Simultaneously, 157 158 STAIR seamlessly integrated the shared MOB region, arranging sub-layers consistent by physical 159 locations. Conversely, while STAligner achieved integration to a certain extent, it failed to discern 160 the distinct difference between AOB and MOB. PRECAST completely mixed the datasets, losing 161 Slide-seqV2 specificity.

162 In summary, STAIR successfully integrated the spatial embeddings across slices within 163 samples, across samples, and across platforms, ensuring a consistent spatial region division and 164 preserving unique biological variations.

165 Precise alignment of 2D coordinates across slices by STAIR

A single ST sequencing only acquires data from one slice, resulting in the loss of the unified physical
 space across multiple slices. Aligning spatial embeddings across diverse ST slices enables unified

168 molecular feature space that encapsulates spatial information. In this section, we evaluate STAIR's

ability in aligning and integrating ST slices in 2D coordinates (x- and y-axis).

170 We utilized 12 MERFISH slices of the preoptic area of the mouse hypothalamus² with known 171 3D positions. To test the 2D position alignment of slices, we introduced random rotations and 172 translations to 11 slices while keeping the first slice fixed (Fig. 3A). Subsequently, we employed 173 STAIR, PASTE, and STitch3D on the rotated slices and assessed their effectiveness (Fig. 3B). 174 STAIR stood out as the most precise alignment, closely recovering actual coordinates. In contrast, 175 STitch3D and PASTE struggled with accurate alignment. Quantitatively, the median rotation and 176 translation errors (Methods) for STAIR were 0.03 and 0.04 mm (Fig. 3C). Similar results were 177 obtained across 500 random simulations (Supplementary Fig. S3A). In contrast, PASTE exhibited 178 rotation and translation errors of 1.53 and 1.81, while STitch3D had errors of 2.0 and 2.1, 179 respectively (Fig. 3C).

180 We further assessed STAIR's robustness on the alignment of 2D coordinates. First, we 181 examined the impact of resolution by aggregating neighboring cells into virtual lower resolution 182 spots (Supplementary Fig. S3B). With 2-5 aggregated cells per spot, STAIR maintained low median 183 rotation errors of 0.02-0.07 and translation errors of 0.04-0.10 (Supplementary Fig. S3C). In contrast, 184 PASTE had errors between 1.54-1.55 for rotation and 1.78-1.80 for translation, while STitch3D 185 ranged from 1.23-1.93 and 1.78-2.25, respectively. Additionally, given that fine alignment relied on 186 spatial region information, we examined performance across 8-15 spatial regions (Supplementary 187 Fig. S3D). STAIR demonstrated stable alignment, with median rotation errors of 0.02-0.08 and 188 translation errors of 0.03-0.09.

In short, ground truth hypothalamus data confirmed STAIR's capabilities for precise 2D slice
alignment and robustness across varied resolution and spatial domains.

191 Construction of *de novo* 3D atlas by STAIR

Although we aligned spatial embeddings and 2D spatial coordinates, computational construction of *de novo* 3D atlas with only ST data posed a significant challenge. One of the key difficulties was computationally determining inter-slice position because the slices used for constructing atlas might come from varied samples, lacking standardized inter-slice distances. Hence, we aimed to build a 196 3D atlas without prior position knowledge or paired images.

197 First, we tested z-axis coordinate reconstruction on 12 mouse hypothalamic preoptic area slices 198 generated by MERFISH, where the z-axis location of each slice was known which could serve as a 199 ground truth². We employed STAIR-Emb (Supplementary Fig. S4A, B) and the inter-slice attention 200 score derived from STAIR-Emb showed a strong negative correlation with physical distance 201 (Spearman's $\rho = -0.88$, Fig. 4A, B), indicating its potential to reconstructing z-axis. By employing 202 MST on attention matrix, we achieved accurate z-axis reconstruction, evidenced by the Pearson 203 correlation coefficient (PCC) of 1 and coefficient of determination (R^2) of 1 with the ground 204 truth of z-axis coordinates (Fig. 4C). We further sequentially aligned the x-axis and y-axis of slices 205 followed by the reconstructed z-axis. This process led to precise de novo 3D coordinates (Fig. 4A, right), showing high consistency with the known 3D coordinates (Fig. 4D). Moreover, we added an 206 207 additional 12 slices from another sample of a different gender, and the results showed high accuracy for the prediction of the total 24 slices by STAIR, with PCC and R^2 of 0.99 and 0.94, respectively 208 209 (Supplementary Fig. S4C to E).

210 Next, we took a more challenging task using 40 mouse brain slices across three different 211 samples generated from the ST platform⁸. This dataset covered 40 coronal hemi-brain sections from 212 the olfactory bulb to the hindbrain. The original study provided anteroposterior (AP)-axis 213 coordinates based on paired images and Bregma coordinates of the brain²⁴ (Fig. 4E, left). We started 214 by using STAIR-Emb to achieve efficient spatial feature integration and spatial region division (Fig. 215 4F). Despite complexity, a robust correlation between attention scores and physical positions 216 persisted, with a ρ of -0.83 (Fig. 4E middle, Supplementary Fig. S5A, left). Further, PCC and R^2 217 between reconstructed AP-axis and the ground truth were as high as 0.98 and 0.96, respectively 218 (Supplementary Fig. S5A, right). Subsequently, we employed STAIR-Loc to align dorsoventral 219 (DV)-axis and mediolateral (ML)-axis coordinates based on the slice order of reconstructed AP axis 220 coordinates, completing the 3D de novo construction (Fig. 4E, right; Supplementary Fig. S5B). The 221 accuracy of spatial positioning of each domain was evident by high expression of their 222 corresponding marker genes (Fig. 4G). For instance, there was high expression of marker genes Dsp ²⁵, Gpr88 ^{26,27}, Ramp3 ^{28,29}, and Camk2n1 ³⁰ in the hippocampus, striatum, thalamus, and superficial 223

cortex, respectively. To sum up, STAIR enabled accurate *de novo* 3D reconstruction based on ST
 slices only.

226 **3D modeling of breast tumor microenvironment**

To further illustrate biological insights provided by 3D atlas, we analyzed the HER2-positive breast cancer³¹ ST data comprising three consecutive slices (H1 to H3) (Supplementary Fig. S6A). The pathologists³¹ annotated one slice (H1) with six tissue types: invasive cancer, adipose tissue, connective tissue, breast glands, *in situ* cancer, and immune infiltrates (Fig. 5A).

231 First, STAIR integrated the spatial embeddings of all the three slices, yielding spatial domains 232 highly consistent with the pathological annotations (Supplementary Fig. S6B). On slice H1, STAIR 233 achieved an ARI of 0.36, surpassing that of STAligner, STitch3D, and PRECAST, which ranged 234 from 0.30 to 0.32 (Supplementary Fig. S6C). Recognizing the inherent distinctions between transcriptome and pathological phenotypes, we deconvoluted³² each spot with scRNA-seq³³ data to 235 236 facilitate spatial domain annotation (Supplementary Fig. S7). STAIR's spatial regions were 237 annotated as connective tissue, immune cancer, breast glands, adipose tissue, fibrous tissue near the 238 tumor, invasive cancer, and two in situ cancer regions (Fig. 5B). In contrast, STAligner failed to 239 differentiate the *in situ* cancer area from the invasive cancer area (cluster 0) (Supplementary Fig. 240 S6B). STitch3D could not distinguish between the two in situ cancer areas (cluster 4). PRECAST 241 struggled to separate the two spatially distinct in situ cancer areas (cluster 2, 3, and 5). Additionally, 242 none of them could detect a heterogeneous region near tumor with fewer cancer cells and more 243 immune cells (cluster 7).

244 Next, we reconstructed the 3D coordinates. The inferred z-axis distance, at 0.52/0.43, closely 245 corresponded the true distance ratio. We then aligned the x-axis and y-axis positions in the order of 246 the z-axis, revealing a continuous structure for each spatial region in the 3D space (Fig. 5C). While 247 STAligner and STitch3D cannot infer z-axis, we compared STAIR with them in 2D coordinates 248 alignment (Supplementary Fig. S6D) and employed LISI metric to assess the spatial clustered 249 pattern of domains in the stacked 2D space, which was a comprehensive measure of spatial domain 250 identification and 2D coordinates alignment (Supplementary Fig. S6E). STAIR showed best 251 performance with the lowest median LISI value of 1.53.

Furthermore, we examined developmental trajectories³⁴ and 3D heterogeneity of tumor-252 253 associated domains. To select the initial domain of the developmental trajectory, we conducted a 254 differential expression analysis of two in situ cancer regions (Supplementary Fig. S8A). In situ 255 cancer-1, marked by high expression of the ERBB2 ³⁵ gene and S100 family genes ³⁶, showcased stronger malignancy and invasive potential. In contrast, in situ cancer-2, chosen as the starting 256 257 region for trajectory inference, showed a relatively lower malignancy and a higher immune level, confirmed by overexpressed HLA family³⁷ genes and enriched immune cells. The trajectory 258 259 unveiled a progression from in situ cancer-2 to in situ cancer-1, invasive cancer, and fibrous tissue 260 near the tumor (Fig. 5D, Supplementary Fig. S8B), with genes exhibiting expression changes along 261 the developmental trajectory (Fig. 5E). Notably, within the same area, tumor invasion exhibited 262 inter-slice heterogeneity on the z-axis. For instance, in situ cancer-1 displayed a trend from H3 to 263 H1, while in situ cancer-2 exhibited a trend from H1 and H3 to H2. Invasive cancer also followed a 264 progression from H3 to H1 (Fig. 5F, Supplementary Fig. S8C).

To conclude, STAIR discerned tumor heterogeneity that cannot be identified by the other methods by integrating three ST slices. The 3D reconstruction of tumor tissue enabled analyzing heterogeneity of invasion paths in a 3D view.

268 Assimilating new sections into a reference atlas with STAIR

Finally, we tested STAIR's ability to integrate new slices into 3D atlas with molecular feature and physical coordinates, which enables continuous expansion and update of the existing 3D atlas.

271 We first assessed the ability for predicting the z-axis of new slices by STAIR. By sequentially 272 masking the known z-axis coordinates of 12 slices in the MERFISH mouse hypothalamic preoptic 273 dataset, we predicted the masked values based on attention scores. Remarkably, the PCC between predicted and actual coordinates was 0.98, with an R^2 of 0.95. Expanding this analysis to 24 slices 274 from two hypothalamic preoptic samples, the PCC and R^2 still reached 0.98 and 0.94, 275 respectively. Further testing on 40 ST mouse brain slices resulted in even higher PCC and R^2 276 values of 0.99 and 0.98, respectively (Supplementary Fig. S9). Our analysis showed that STAIR 277 278 enabled accurate z-axis prediction, even for slices from multiple samples.

279 Next, we evaluated its capability to integrate new slices into the existing 3D atlas. We

integrated a new slice from the Visium platform into an existing 3D ST-platform brain atlas⁸, which 280 281 was aligned to Allen Mouse Common Coordinate Framework (CCF) ³⁸ 3D space through experimental information and image registration. We input 40 ST and the Visium slice into STAIR-282 283 Emb for inter-slice attention scoring (Fig. 6A) and seamlessly mixed spatial embeddings (Fig. 6B). Leveraging attention scores to predict the position of the new slice (Methods), we identified the 284 285 nearest neighbor slice, 20A, in the 3D brain atlas. By taking the 2D coordinates of 20A as a reference 286 template, STAIR-Loc performed scaling, rotation, and translation on the new Visium slice to map 287 CCF 3D coordinates (Fig. 6C). Additionally, leveraging detailed spatial anatomical region 288 annotations from the ABA, we secured regional information for each spot in the Visium slice (Fig. 289 6D). The UMAP-based visualization revealed internal clustering of anatomical regions and 290 separation between regions (Fig. 6E). We further demonstrated the accuracy of regional annotation 291 of the new Visium slice based on the expression of specific markers, such as Cabp7, Hpca1, Gpr88, 292 *Rora*, *Mbp* and *Pmch*, which exhibited high expression in hippocampus, hippocampal formation, 293 striatum, thalamus, fiber tracts and hypothalamus, respectively.

To summarize, STAIR enabled integrating new slices into a 3D atlas which might be generated
 from a different ST platform.

296

297 **Discussion**

The rapid advances in ST have ushered in new opportunities for exploring tissue architecture with gene expression patterns. However, connecting perspectives across discrete 2D slices to enable unambiguous 3D biological comprehension presented persistent computational challenges. Here, we provide an integrated solution for robust alignment, construction to 3D modelling, and assimilation of emerging data.

303 STAIR achieves accurate spatial embedding and coordinate alignment, enabling pioneering *de* 304 *novo* 3D atlas construction from ST slices only. Its superior efficacy and broad functionality are 305 attributed to the attention mechanism of the heterogeneous graph attention network. First, it enables 306 adaptive feature aggregation by capturing spot-level and slice-level relationships, thereby obtaining 307 spatial embeddings informed by broader context. These embeddings further enable slice anchor 308 pairs to initialize 2D alignments. Second, the attention mechanism is highly interpretable and 309 versatile. High-order semantic modeling captures the physical relationships between slices and 310 provides an innovative z-axis positioning mechanism, which facilitates multi-sample 3D 311 reconstruction and seamless assimilation of new slices.

312 While most of the previous ST aligners focus on 2D alignment or integration, STAIR and 313 STitch3D take multiple parallel ST slices as input and aim to create integrated 3D spatial atlases. 314 However, STAIR is technically distinguished from STitch3D in several aspects. (1) Input flexibility: 315 STAIR requires only ST data as input, making it more readily applicable to datasets where matched 316 single-cell data is not available. (2) De novo 3D reconstruction: STAIR can infer relative positioning 317 of slices along the z-axis in a completely unsupervised manner. This enables fully data-driven 3D 318 reconstruction without relying on known slice spacing or order information. (3) New slice 319 assimilation: STAIR can predict the positioning of new slices based on attention score proximities 320 and situate them within existing 3D atlases by transferring coordinate templates. This unique 321 capability paves the way for continually expanding spatial references. (4) Coordinate alignment: 322 While STitch3D aligns spots by 3D coordinate registration, STAIR offers an alternative approach 323 using sequential 2D alignment between slice pairs guided by learned spatial embeddings. This 324 provides greater flexibility when 3D location inputs are unreliable or unavailable.

325 Despite progress, further innovation must meet escalating biological complexity and spatial 326 dimension. First, one current limitation is the effective integration of datasets from platforms with 327 highly divergent resolutions, such as attempting to jointly analyze Stereo-seq data that profiles 328 transcriptomes at subcellular resolution with ST data that assays much larger tissue spots. Second, 329 integration of ST datasets with other data types such as spatial proteome will be a significant 330 challenge. At application horizon, advancing analytical tools based on 3D atlas are needed. For 331 example, most current spatially variable gene identification primarily models in 2D space, whereas 332 capturing full organ system patterns in 3D could provide deeper biological insights.

In conclusion, STAIR provides a unified algorithm advancing analysis of multi-slice ST data, including alignment, integration, and *de novo* 3D reconstruction. This advancement facilitates the creation of spatial maps for diverse organs, enabling the exploration of molecular mechanisms 336 underlying tissue structure phenotypes in a true 3D dimension.

337

338 STAIR algorithm

339 **Data preprocessing.** Suppose that there are S slices denoted as A_1, A_2, \dots, A_s , each containing respective numbers of spots N_1, N_2, \dots, N_s . Among these slices, G genes are common. 340 341 Consequently, the gene expression data can be represented as a matrix X of dimensions $N \times G$, 342 where $N = N_1 + N_2 + \dots + N_s$ is the total number of spots across all slices. The spatial coordinate 343 data is stored in a matrix Y of dimensions $N \times 2$ or $N \times 3$ depending on if the z-dimension 344 exists, and it is sufficient to input an $N \times 2$ dimensional coordinate matrix for STAIR. The raw gene expression counts in X are normalized based on library size and then log-transformed to get 345 the normalized expression matrix \tilde{X} . 346

To remove batch effects in expression features across various slices, we employed an autoencoder alongside batch annotation ¹⁶ b_{ns} . If the *n*th spot is from the *s*th slice, we set $b_{ns} =$ 1; otherwise, we set $b_{ns} = 0$. Given the assumptions of negative binomial (NB) or zero-inflated negative binomial (ZINB) distributions in gene expression, the preprocessing framework is:

- $Z = f_1(\tilde{X}||B)$
- $R = f_3(Z')$
- $\Pi = f_4(Z')$

Encoder f_1 contains two layers compressing the expression matrix into a 32-dimensional lowdimensional feature matrix Z. The decoder first uses f_2 to decode Z and batch information into a 128-dimensional feature matrix Z', the parameters of NB distribution (R, L) and zero-inflated probability Π are then learned based on Z'. The objective is to minimize reconstruction loss between X and the NB/ZINB distribution parameterized by (Π , R, P). The loss function is the NB/ZINB negative log-likelihood.

361

362 Spatial embedding alignment. We use a heterogeneous graph attention network ¹⁵ to learn
 363 integrated spatial features across slices.

364

- 365 <u>*Construction of heterogeneous graph.*</u> We attribute node characteristics to each spot based on the 366 slice it belongs to. Distinct approaches are employed to establish edges for spots located within the 367 same slice and those positioned across different slices.
- For spots in the same slice, we build homogeneous edges based on the physical location of the spot. For a spot with index j in slice A_j , the set of its intra-slice neighbors \mathcal{N}_j is identified by K-Nearest Neighbor (KNN) based on spatial coordinates.
- For spots not within the same slice, since their relative physical coordinates are unknown, we construct heterogeneous edges based on the expression similarity obtained in the preprocessing step. Only nodes with highly consistent expression features are connected. For spots j from slice A_j and k from slice A_K , the edge exists if $z_k \cdot z_j > t$. We usually set t = 0.9 and denote the interslice neighbors of spot j from slice A_K as \mathcal{N}_j^{JK} .
- 376
- 377 <u>Intra-slice information aggregation.</u> To learn the spatial features of each spot, we first aggregate the
 378 information from intra-slice neighbors based on homogeneous edges separately for each slice.
- We adopted the same model architecture and aggregation method as the graph attention network (GAT) module in SECE³⁹, and obtained the homogeneous spatial feature U^{hom} based on homogeneous edges,

 $U^{hom} = GAT(Z, Y)$

382

383 where Z is the expression feature of the spot obtained by the preprocessing module.

384

385 <u>Inter-slice information aggregation.</u> Further, we employ spot and slice level attention to aggregate
 386 inter-slice information adaptively.

First, we learn spot-level attention. For two spots i and j from slices A_I and A_J connected by heterogeneous edge, the spot-level attention e_{ij}^{IJ} between them represents the importance of spot j to i, namely,

390
$$e_{ij}^{IJ} = LeakyReLU(q_{IJ}^{T}[W_{IJ}^{1}u_{i}^{hom}||W_{IJ}^{2}u_{j}^{hom}])$$

391 where W_{IJ}^1 and W_{IJ}^2 are weight matrices specific to slice pairs, q_{IJ} is a learnable vector, and e_{ij}^{IJ}

is an asymmetric attention matrix that contains pairwise attention between slices A_I and A_J . The final inter-slice spot level attention matrix α_{ij}^{IJ} is obtained by normalizing e_{ij}^{IJ} :

394
$$\alpha_{ij}^{IJ} = softmax_j(e_{ij}^{IJ}) = \frac{\exp(e_{ij}^{IJ})}{\sum_{k \in \mathcal{N}_i^{IJ}} \exp(e_{ik}^{IJ})}$$

For a node *i* from slice A_i , its spot-level heterogeneity based on neighbors in slice A_i is:

396
$$u_i^{IJ} = \sigma \left(\sum_{j \in \mathcal{N}_i^{IJ}} \alpha_{ij}^{IJ} \cdot u_j^{hom} \right).$$

397 Then, we learn slice-level attention. Different slices contribute differently to target slice and 398 we adaptively learn how much each slice contributes to others. For the slice A_I to be learned, the

399 slice-level importance of slice A_I to A_I is:

400
$$w_{IJ} = \frac{1}{N_I} \sum_{i \in A_I} q^T \cdot \tanh(W \cdot u_i^{IJ} + b))$$

401 where *W* and *b* are weight matrix and bias vector, *q* is a learnable vector. Then normalize w_{IJ} 402 to get the final slice level attention coefficient:

403
$$\beta_{IJ} = \frac{\exp(w_{IJ})}{\sum_{K \neq I} \exp(w_{IK})}$$

404 Therefore, the heterogeneity representation of slice A_I based on other slices is:

405
$$U_I^{het} = \sum_{J \neq I} \beta_{IJ} U^{IJ}$$

406

407 <u>Model learning and training.</u> For each slice, the final spatial feature matrix U is obtained by 408 combining the intra-slice representation U^{hom} and inter-slice heterogeneous representation U^{het} :

409
$$U = \lambda \cdot U^{hom} + (1 - \lambda) \cdot U^{het}$$

410 where λ weights the homogeneous and heterogeneous components with default value 0.8. The 411 model is trained to ensure *U* reliably represents the original spatial gene expression features *Z*. 412 The objective function is defined as the mean squared error between *U* and *Z*:

413 Loss = MSE(U,Z)

414 In the training process, U is set to 32 dimensions. The Adam optimizer is used for training

415 with learning rate of 0.001. The default number of iterations is 150.

416

417 Slice-level attention-based reconstruction and prediction in z-axis. The attention weight at the

slice level, denoted as β_{IJ} , characterizes the collective impact of slice A_J on A_I within the spatial embedding learning process. This encapsulates intricate higher-order spatial semantic insights. Notably, the strong correlation between these weights and the actual distance between slices signifies the potential for deducing the physical placement of slices.

422

De novo z-axis reconstruction for multiple slices. When we lack information about the third-423 dimensional coordinates $(y_1^{(3)}, \dots, y_l^{(3)}, \dots, y_s^{(3)})$ of the slice, we can infer the positional 424 relationships between these parallel slices based on the inter-slice attention scores. Specifically, we 425 start by defining the distances between slices A_I and A_I as $d_{IJ} = 1 - \frac{\beta_{IJ} + \beta_{JI}}{2}$ and proceed to 426 construct a Kruskal algorithm ¹⁹ based MST using network package implemented in Python. 427 428 Subsequently, we select the given root slice A_I and set its position as $l_I = 0$. The positions of the 429 remaining nodes are then determined by adding the distance between each node and its parent node's position. Lastly, we normalize the distances to obtain position predictions $(l_1, l_2 \cdots, l_S)$ within the 430 431 range of 0 to 1. Furthermore, for comparison with actual coordinates in the article, we additionally 432 scale the normalized distances $(l_1, l_2 \cdots, l_S)$ to match the real coordinate range.

433

434 <u>*Z*-axis prediction for new slice.</u> When the z-axis coordinates $(y_1^{(3)}, y_2^{(3)}, \dots, y_s^{(3)})$ are known, in 435 order to align the new slice A_{new} into the unified 3D space, we predict $y_{new}^{(3)}$ based on the 436 attention vectors between A_{new} and A_1, A_2, \dots, A_s , denoted as $\beta_1 = (\beta_{new,1}, \beta_{new,2}, \dots, \beta_{new,s})$ 437 and $\beta_2 = (\beta_{1,new}, \beta_{2,new}, \dots, \beta_{s,new})$.

We first sort the elements of β_1 and β_2 in descending order, and choose the top N elements $\hat{\beta}^1 = (\hat{\beta}_{new,R_1^1}, \dots, \hat{\beta}_{new,R_N^1})$ and $\hat{\beta}^2 = (\hat{\beta}_{new,R_1^2}, \dots, \hat{\beta}_{new,R_N^2})$. Following this, we identify the intersection of these element indices $\{R_1, \dots, R_{N'}\}$, where $N' \leq N$. Finally, we perform weighted average on the z-axis corresponding to $(R_1, \dots, R_{N'})$ to get the predicted value of $y_{new}^{(3)}$:

442
$$\hat{y}_{new}^{(3)} = \frac{\sum_{K \in \{R_1, \cdots, R_{N'}\}} \frac{\beta_{new,K} + \beta_{K,new}}{2} \cdot y_K^{(3)}}{\sum_{K \in \{R_1, \cdots, R_{N'}\}} \frac{\beta_{new,K} + \beta_{K,new}}{2}}$$
(1)

443

444 Spatial location alignment. We employ a two-stage approach for 2D alignment in x-axis and y-

445 axis. In the initial stage, spatial features are utilized to identify spot pairs with precise matches, and 446 initial transformation matrices are determined based on these pairs. In fine alignment stage, we first 447 identify spots that effectively capture both global and local information within slices. The coordinate 448 positions of these spots are then leveraged to execute ICP¹⁸ fine registration.

449

450 Initial alignment. Consider slice A_I with n_I spots and slice A_I with n_I spots, along with their spatial feature sets $\{u_1^l, u_2^l, \dots, u_{n_l}^l\}$ and $\{u_1^J, u_2^J, \dots, u_{n_l}^J\}$, respectively. First, we measure the 451 452 similarity between spots through the cosine distance based on spatial embeddings. The mutual 453 nearest neighbors (MNN) of k = 1 located in different slices form pairs for the initial alignment, resulting in curated pairs $\{(i_1, j_1), (i_2, j_2), \dots, (i_n, j_n)\}$ along with their coordinates 454 $\{(y_{i_1}^I, y_{j_1}^J), (y_{i_2}^I, y_{j_2}^J), \dots, (y_{i_n}^I, y_{j_n}^J)\}$. subsequently, we seek the optimal rotation matrix R and 455 translation vector t, to align the physical positions of the corresponding point sets. Consequently, 456 457 the loss function for the initial alignment is formulated as:

458
$$Loss_{init} = \sum_{k=1}^{n} |y_{i_k}^I - (Ry_{j_k}^J + t)|^2$$

459 Singular value decomposition⁴⁰ solves for R and t. All spots are aligned to their targets 460 accordingly.

461

Fine alignment. The fine alignment stage involves two main steps: identifying informative anchor 462 spots for capturing both regional details and global outlines specific to the slice, followed by the 463 application of the classic ICP¹⁸ algorithm. First, we select highly concentrated regions that are 464 common to both slices, according to median of LISI value which implemented in R package lisi. 465 Subsequently, we identify the concave hulls⁴¹ corresponding to the chosen regions and the slices. 466 467 These concave hulls serve to depict both the local attributes of the region and the broader shape characteristics of the entire slice. Finally, ICP¹⁸ algorithm is employed on these sets of informative 468 469 points to achieve precise fine registration, resulting in our desired alignment outcome.

470

471 Aligning new brain slices into existing ABA atlases. We employed a dataset consisting of 40 ST

472 coronal brain slices with CCF 3D coordinate information, derived from a previous study ⁸, to serve 473 as a foundational reference of whole-brain framework. To obtain the CCF 3D coordinates and 474 anatomical region annotations of each spot in new slice, we incorporated it into the reference dataset 475 through the following steps.

First, we integrate the spatial embeddings of the new slice A_{new} with the reference set $\{A_1, A_2, \dots, A_{40}\}$, obtaining the attention score associated with the A_{new} and each reference slice. By applying formula (1), we predict the AP coordinate of the new slice, denoted as y_{AP}^{new} .

Then, we adjusted the ML and DV dimensions of A_{new} to align with the CCF scale. We select the reference slice A_j closest to A_{new} according to y_{AP}^{new} , followed by filtering MNN spot pairs based on cosine distances between spatial features. This filtering process generated a set of spot pairs { $(i_1, j_1), (i_2, j_2), \dots, (i_n, j_n)$ }, each possessing corresponding ML and DV coordinates { $(y_{i_1}^{new}, y_{j_1}^J), (y_{i_2}^{new}, y_{j_2}^J), \dots, (y_{i_n}^{new}, y_{j_n}^J)$ }. Consequently, the scaling factor was calculated as:

484
$$scale = Median_{k_1 \neq k_2} \left\{ \frac{\left| y_{j_{k_1}}^J - y_{j_{k_2}}^J \right|}{\left| y_{i_{k_1}}^{new} - y_{i_{k_2}}^{new} \right|} \right\}.$$

Next, the ML and DV orientations of slice A_{new} are aligned into the CCF. We perform a twostage alignment of the scaled coordinates (see **Spatial location alignment**), thus effectively integrating the 3D coordinates of A_{new} into the CCF.

Finally, anatomical regions are assigned to each new spot based on location-specific information within the ABA annotation file *annotation_25.nrrd* from <u>https://portal.brain-map.org/</u>, establishing a mapping of the anatomical context for slice A_{new} .

491

492 Toolkit for ST analysis

493 Clustering for spatial domains. Clustering is conducted on integrated spatial embeddings to obtain
 494 unified spatial domains across ST slices. We employ mClust ⁴² clustering method implemented in
 495 R package *rmclust*.

496

497 Spatial trajectory inference. Trajectory inference based on spatial embeddings is used to track the

498 development in spatial dimension. We utilize Monocle3 ³⁴ to perform the pseudo time inference for 499 each spot based on UMAP derived from spatial embedding of STAIR by applying *learn_graph* and 500 *order_cells* in Monocle3 package. Function *graph_test* is employed to find genes that change with 501 pseudo time.

- 502
- 503 **Deconvolution.** For HER2+ breast cancer dataset, we perform deconvolution to analyze the cell 504 type composition using software Cell2location ³² and annotated scRNA-seq dataset ³³. Cell2location 505 is run according to the tutorial and default parameters.
- 506

507 **Differential expression analysis.** We employ Seurat V4⁴³ to perform differential expression 508 analysis. Differential expression analysis is used to identify cluster-specific marker genes where all 509 the clusters are pairwise compared using the Wilcoxon method. Each identified marker gene was 510 expressed in a minimum of 25% of cells and at a minimum log fold change threshold of 0.25.

511

512 Evaluation

513 **Evaluation of spatial embedding alignment**. <u>Adjusted Rand index (ARI)</u>. ARI measures the 514 consistency between spatial domains identified by different algorithms and the known anatomical 515 region labels. Given the contingency table of intersections between the algorithm-generated 516 domains and annotation-based labels, it is calculated as

517
$$ARI = \frac{\sum_{ij} \binom{n_{ij}}{2} - \left[\sum_{i} \binom{a_{i}}{2} \sum_{j} \binom{b_{j}}{2}\right] / \binom{n}{2}}{\frac{1}{2} \left[\sum_{i} \binom{a_{i}}{2} + \sum_{j} \binom{b_{j}}{2}\right] - \left[\sum_{i} \binom{a_{i}}{2} \sum_{j} \binom{b_{j}}{2}\right] / \binom{n}{2}},$$

518 where n_{ij} , a_i and b_i are values from the contingency table. ARI values range from -1 to 1. Higher 519 ARI indicates greater agreement with the ground truth annotations.

- 520
- 521 <u>Average Silhouette width (ASW).</u> ASW evaluates how well the features match true clusters in the 522 data. For every sample i, Silhouette width S(i) is calculated as

523
$$S(i) = \frac{b(i) - a(i)}{\max\{a(i), b(i)\}}$$

524 where a(i) is the average distance between i and points in its own cluster, and b(i) is that to 525 adjacent cluster points. ASW values range from -1 to 1, with greater ASW indicates better match.

In this paper, we employ ASW_{domain} and ASW_{batch} to measure the fitness of spatial features to known spatial regions and batches of slices, respectively. A larger ASW_{domain} and a smaller ASW_{batch} value represent stronger feature learning and data integration capabilities. To evaluate the comprehensive performance of spatial features, we calculated the harmonic mean ASW_{F1} of ASW_{domain} and $1 - ASW_{batch}$:

531
$$ASW_{F1} = \frac{2(1 - ASW_{batch})ASW_{domain}}{1 - ASW_{batch} + ASW_{domain}}$$

532 Higher ASW_{F1} indicates stronger comprehensive ability of feature integration and biological 533 specificity retention.

534

Evaluation of spatial alignment in x-axis and y-axis. To assess STAIR's capability for spatial 535 536 alignment, we conducted simulations involving multiple slices, each with known 3D coordinates. 537 Specifically, we maintained the spatial location of the first slice unchanged, while applying random 538 rotations and translations to the remaining slices. Rotation angle $\theta \in (0, \pi)$, translation distance $t_{(1)} \in (-a_{(1)}, a_{(1)}), t_{(2)} \in (-a_{(2)}, a_{(2)})$, where $a_{(1)} = \max(y_{(1)}) - \min(y_{(1)})$, $a_{(2)} = \max(y_{(1)}) - \min(y_{(1)})$ 539 $\max(y_{(2)}) - \min(y_{(2)})$. Note that the ground truth rotation angle and translation distance of S - 1540 slices are $\{\theta^2, \theta^3, \cdots, \theta^S\}$ and $\{(t_{(1)}^2, t_{(2)}^2), (t_{(1)}^3, t_{(2)}^3), \cdots, (t_{(1)}^S, t_{(2)}^S)\}$, respectively. The error of 541 542 rotation and translation are:

543
$$\Delta_{\theta} = \frac{1}{S-1} \sum_{I=2}^{S} \left| \theta^{I} - \hat{\theta}^{I} \right|$$

544
$$\Delta_t = \frac{1}{S-1} \sum_{l=2}^{S} \sqrt{\left(t_{(1)}^l - \hat{t}_{(1)}^l\right)^2 + \left(t_{(2)}^l - \hat{t}_{(2)}^l\right)^2}$$

545 where $\hat{\theta}^{I}$ and $(\hat{t}_{(1)}^{I}, \hat{t}_{(2)}^{I})$ are rotation angle and translation distance of slice A_{I} obtained by the 546 algorithm to be evaluated, respectively.

547

Evaluation of spatial domain identification and 2D coordinate alignment in HER2+ breast
 cancer. Local inverse Simpson's index (LISI) measures the degree of local mixing, and we use it to

evaluate the spatial aggregation pattern of domains in stacked 2D space. For each spot i, LISI is formulated as:

552
$$LISI(i) = \frac{1}{\sum_{l \in L} p_i(l)}$$

where $p_i(l)$ is the probability that the spatial domain label 1 exists in the local neighborhood of sample i, and L is the set of spatial domains. Local neighborhoods are selected by stacked 2D coordinates. The value of LISI is in the range of $[1, \infty)$, and smaller LISI indicates better aggregation pattern.

557

Evaluation of z-axis reconstruction and prediction. We utilize the coefficient of determination (R^2) and the Pearson correlation coefficient (*PCC*) to quantify the effectiveness of z-axis reconstruction and prediction. Denoting the ground truth z-axis coordinate of the *S* slices as $\{y_{(3)}^1, y_{(3)}^2, \dots, y_{(3)}^S\}$, and the reconstructed or predicted outcomes are $\{\hat{y}_{(3)}^1, \hat{y}_{(3)}^2, \dots, \hat{y}_{(3)}^S\}$. The corresponding R^2 and *PCC* values are calculated as:

563
$$R^{2} = 1 - \frac{\sum_{l=1}^{S} (y_{(3)}^{l} - \hat{y}_{(3)}^{l})^{2}}{\sum_{l=1}^{S} (y_{(3)}^{l} - \overline{y}_{(3)})^{2}}$$

564
$$PCC = \frac{\sum_{l=1}^{S} (y_{(3)}^{l} - \bar{y}_{(3)}) (\hat{y}_{(3)}^{l} - \bar{\hat{y}}_{(3)})}{\sqrt{\sum_{l=1}^{S} (y_{(3)}^{l} - \bar{y}_{(3)})^{2}} \sqrt{\sum_{l=1}^{S} (\hat{y}_{(3)}^{l} - \bar{\hat{y}}_{(3)})^{2}}}$$

565 where
$$\bar{y}_{(3)} = \sum_{l=1}^{S} y_{(3)}^{l}$$
 and $\bar{\hat{y}}_{(3)} = \sum_{l=1}^{S} \hat{y}_{(3)}^{l}$.

566

567 Assessment of alternative methods. We conducted a comparative evaluation of STAIR against 568 other alignment methods, including STAligner, Stitch3D, PRECAST, GraphST, and PASTE. In our 569 assessment, we employed the default parameters for all methods unless specific parameters were 570 outlined in the original text or tutorial.

571

572 <u>STAligner.</u> STAligner integrates ST data across different conditions, technologies, and devel 573 opmental stages. It employs STAGATE and triplet loss to integrate the ST datasets until b 574 atch-corrected embeddings are generated. It further considers shared spatial domain and M 575 NNs identified by STAligner as corresponding pairs to guide the 2D alignment. We downl 576 oaded the package from <u>https://github.com/zhoux85/STAligner</u>, and ran STAligner following 577 its tutorial https://staligner.readthedocs.io/en/latest/index.html.

578

579 STitch3D. STitch3D first unified 3D spatial coordinates for spots using ICP or PASTE, followed by graph construction based on 3D coordinates. It performed spatial embedding learning and 580 581 integration by graph attention network and slice- and gene-specific parameters. We downloaded the 582 package from https://github.com/YangLabHKUST/STitch3D, and ran STitch3D following 583 https://stitch3d-tutorial.readthedocs.io/en/latest/tutorials/index.html. Given the requirement for 584 scRNA-seq datasets from the same tissue, we utilized single cell DLPFC and HER2+ breast cancer 585 data accessed at the Gene Expression Omnibus (GEO) under the accession code GSE144136 and 586 GSE176078, respectively.

587

588 <u>PRECAST</u> PRECAST is a probabilistic method for spatial embedding learning, clustering, and 589 alignment. We downloaded the R package from <u>https://github.com/feiyoung/PRECAST/</u>, and ran 590 PRECAST following its tutorial <u>https://feiyoung.github.io/PRECAST/index.html</u>

591

592 PASTE. PASTE provides the flexibility to align two slices either through pairwise alignment or to 593 simultaneously align multiple slices using center alignment. We opted for pairwise alignment in our 594 testing, as other approaches also employ pairwise processes. In pairwise slice alignment, it aims to 595 find the best possible way to connect spots in one slice with spots in another slice, followed by 596 constructing a stacked 3D alignment of a tissue. The connection, denoted as Π , is chosen to reduce 597 both the differences in gene expression patterns between connected spots from different slices and 598 the differences in physical distances between connected spots within the same slice. Parameter α 599 was used to balance these two differences, and we set its default value of $\alpha = 0.1$ in our test. We 600 download the package from https://github.com/raphael-group/paste/tree/main, and ran PASTE following https://github.com/raphael-group/paste/tree/main/docs/source/notebooks. 601

603 Data availability

604 Visium DLPFC dataset can be accessed from the spatialLIBD package http:://spatial.libd.or 605 g/spatialLIBD. Olfactory bulb dataset sequenced by Stereo-seq is available at https://github. 606 com/JinmiaoChenLab/SEDR analyses. Olfactory bulb dataset sequenced by Slide-seqV2 is t 607 he Puck 200127 15 data in https://singlecell.broadinstitute.org/. MERFISH hypothalamic pre optic region data is downloaded from https://github.com/ZhuangLab/MERFISH analysis. Dat 608 609 aset of ST brain slices is available at http://molecularatlas.org/, and the Visium brain data 610 aligned to them is downloaded from https://www.10xgenomics.com/resources/datasets/mousebrain-coronal-section-1-ffpe-2-standard. HER2+ breast cancer ST dataset is available at http 611 s://doi.org/10.5281/zenodo.4751624, and single cell data is accessed at GEO under the acce 612 613 ssion code GSE176078.

614

615 Code availability

The STAIR algorithm is implemented and provided as a pip installable Python package which is available on GitHub <u>https://github.com/yuyuanyuana/STAIR</u>. All scripts used to reproduce all the analyses are also available at the same website.

619

620 **Declarations**

- 621 Ethics approval and consent to participate
- 622 No ethnical approval was required for this study.
- 623 **Consent for publication**
- 624 Not applicable.
- 625 Competing interests
- 626 The authors declare that they have no competing interests.

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630 Authors' contributions

- 631 Z.X. conceived and supervised the study. YY.Y. and Z.X. designed the study. YY.Y. analyzed the
- 632 data. YY.Y. and Z.X. wrote the manuscript. All authors read and approved the manuscript.

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Fig. 1. Overview of STAIR framework. (A) STAIR processes multiple ST slices by utilizing an autoencoder to compress the expression matrices of each slice, resulting in integrated expression features. Subsequently, STAIR-Emb takes the expression features and the 2D coordinates of each slice as input, employing a heterogeneous graph attention network to learn integrated spatial features. Finally, STAIR-Loc utilizes these spatial features to establish the initial alignment of spatial

- 650 coordinates, followed by refining the alignment further by incorporating boundary points of slices
- and their respective domains. (B) *De novo* reconstruction of 3D atlas without prior knowledge about
- 652 physical location. STAIR-Emb learns integrated spatial features and establishes high-order semantic
- 653 relationships between slices, transforming them into distance matrices. Next, minimum spanning
- 654 trees (MST) reconstruct relative positional relationships, guiding sequential spatial alignment. (C)
- 655 Seamlessly integrating new slices into an existing 3D atlas. STAIR-Emb integrates spatial features
- of the new slice with the 3D atlas, followed by predicting the new slice's z-axis location and aligning
- 657 its 2D coordinates (x- and y-axis) with the 3D atlas using STAIR-Loc.
- 658
- 659





Fig. 2. STAIR effectively integrates heterogeneous spatial transcriptomics data. (A) Groundtruth segmentation of manually annotated regions in 12 DLPFC sections. (B) STAIR's spatial domain identification based on the 4 DLPFC slices for each sample. (C) Boxplots of adjusted rand index (ARI) scores of the four methods applied to the 4 DLPFC slices of each sample and to the

665 total 12 slices of the three samples. In the boxplot, the center line denotes the median, box limits 666 denote the upper and lower quartiles, and whiskers denote the 1.5 × interquartile range. (D) STAIR's spatial domain identification based on the 12 DLPFC slices. (E) Integrative spatial regions 667 668 identification of Stereo-seq (left) and Slide-seqV2 (right) mouse olfactory bulb data using STAIR, STAligner and PRECAST. (F) UMAPs derived from spatial embedding of STAIR, STAligner and 669 670 PRECAST, with colors determined by the spatial regions they identify. (G) UMAPs derived from 671 spatial embedding of STAIR, STAligner and PRECAST, with colors determined by the dataset to 672 which the spot belongs.





Fig. 3. Precise alignment of 2D coordinates by STAIR. (A) Schematic diagram of spatial position 676 677 alignment. 2D coordinates in the first slice were fixed, and the remaining 11 slices were randomly 678 rotated and translated. STAIR, PASTE, and STitch3D were employed to align the spatial 679 coordinates of the rotated data. (B) Results of 2D spatial alignment using STAIR, STitch3D and 680 PASTE. (C) Boxplots show the rotation errors of each method. In the boxplot, the center line denotes 681 the median, box limits denote the upper and lower quartiles, and whiskers denote the 1.5 \times 682 interquartile range. (D) Boxplots show the translation errors of each method. In the boxplot, the 683 center line denotes the median, box limits denote the upper and lower quartiles, and whiskers denote 684 the $1.5 \times$ interquartile range.



687 Fig. 4. STAIR constructs de novo 3D atlas for the hypothalamic preoptic region and the mouse 688 brain. (A) Diagram depicting the de novo 3D atlas reconstruction based on 12 MERFISH slices in 689 the hypothalamic preoptic region. We acquired slice-level attention scores by STAIR-Emb. 690 Subsequently, these attention scores were used for reconstructing distances along the parallel 691 direction of the slices. Finally, STAIR-Loc was employed to align the 2D coordinates guided by 692 inter-slice distance. (B) Scatterplot showing the correlation between pairwise attention scores and 693 physical distance between slices, with a Spearman correlation coefficient of -0.88. (C) Comparing 694 reconstructed and actual physical coordinates in z-axis reveals a perfect correlation, with both the

695 Pearson correlation coefficient and determination coefficient of 1. The reconstructed coordinates 696 are proportionally scaled to match the dimensions of the real physical coordinates. (D) Visualization 697 of ground truth 3D coordinates, colored by spatial domains. (E) Left: Distribution of 40 coronal 698 sections generated by the ST platform used to generate the atlas, adapted from the Ortiz's work ⁸. Middle: Heatmap of attention scores across 40 slices in the of ST mouse brain data. Right: 699 700 Visualization of *de novo* reconstructed 3D coordinates, colored by spatial domains. (F) UMAP 701 visualization generated by spatial embeddings of STAIR, colored by original samples (left) and domains (right). (G) Visualization of de novo reconstructed 3D coordinates, colored by spatial 702 703 domains (top) and their corresponding marker genes (bottom).



707 Fig. 5. De novo 3D reconstruction and analysis of HER2+ breast cancer slices. (A) Annotations 708 of slice H1 in the original study³¹ into six distinct categories: invasive cancer (red), adipose tissue 709 (cyan), connective tissue (blue), breast glands (green), in situ cancer (orange) and immune infiltrates 710 (yellow). (B) 2D spatial visualization shows the domains identified by STAIR in slice H1. That of 711 the other two slices are displayed in Fig. S6B. (C) Visualization of de novo reconstructed 3D 712 coordinates, colored by spatial domains. (D) Pseudo-time of each spot inferred by Monocle3 based 713 on spatial embedding from STAIR. (E) Heatmap displaying genes with expression changes along 714 the Monocle-derived pseudo-time, with spots ordered by pseudo-time. (F) Boxplot shows the 715 pseudo-time of the spots in in situ cancer-1 (left) and invasive cancer (right) for each slice. In the 716 boxplot, the center line denotes the median, box limits denote the upper and lower quartiles, and 717 whiskers denote the $1.5 \times$ interquartile range.



718

719 Fig. 6. Assimilating new sections into a reference atlas. (A) Heatmap depicting attention scores 720 among 41 mouse brain slices, including 40 slices of the reference atlas from the ST platform, along 721 with an additional slice generated from the Visium platform. (B) UMAP visualization of spatial embedding generated by STAIR, with colors indicating the respective sample of origin. (C) 722 723 Visualization of the unified three-dimensional space after aligning the coordinates of the Visium 724 slice with the 3D atlas. Spots from the Visium slice and ST slices are shown in red and gray respectively. (D) Spatial visualization of the Visium slice (left) and the ST slice (right) closest to 725 726 Visium slice, with colors indicating the anatomical regions of the first (top) and the second (bottom) 727 levels. (E) UMAP visualization of spatial embedding generated by STAIR, with colors indicating the anatomical regions of the first (top) and second (bottom) levels. (F) Spatial visualization of 728 729 known regional marker genes.

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